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We don't just solve legal problems - we build lasting partnerships that support every aspect of your business growth

# Hamilton Blackstone Lawyers Newsletter

# **Financial Services Regulatory Insights**

Dear Valued Clients and Partners,

Welcome to the third edition of our Quarterly Newsletter! The regulatory landscape continues to shift quickly, and our goal is to make those changes easier to understand and simpler to act on, so you can move forward with confidence and turn compliance into a real opportunity.

## What to do if your AFSL has been on ASIC's radar

Our latest video reveals how regulatory attention isn't just a moment to react - it's a chance to lead. With the right strategy, you can strengthen compliance, protect your reputation and demonstrate your ongoing commitment to the clients who trust you most.

#### **Key Insights:**

- Breach Reporting: Act fast to stay compliant and control the narrative.
- Review & Remediate: Resolve issues with confidence and protect client trust.
- Governance Check-Up: Strengthen oversight and prove your leadership.
- Turn Risk into Opportunity: Use ASIC attention to build smarter, stronger systems.
- Regain Momentum: Tackle challenges early and get back to growth.

#### Click on image to watch a brief video



# The Hamilton Blackstone Difference

Regulation shouldn't stand in the way of your success, not when you've got the right partners behind you.

For 20 years, we have guided financial service providers through ASIC scrutiny, safeguarding AFSLs and transforming compliance into a platform for growth.

When challenges arise, we move fast, think strategically and keep your business moving forward.

### Why Choose Us:

- Experts in navigating ASIC and AFSL challenges.
- Fast, practical legal solutions that move with your business.
- On-demand in-house legal support without the overhead.
- Skilled mediation and expert dispute resolution.
- Industry influence that ensures your voice is heard.

Click here to read more on how we can support your business

### **Next Edition**

Thinking of buying or selling a financial services business? Don't miss our next video guide.

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